

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q79636

Toshiaki AONO, et al.

Appln. No.: 10/765,929

Group Art Unit: 2853

Confirmation No.: 2659

Examiner: Laura E. MARTIN

Filed: January 29, 2004

For: INK-JET RECORDING INK AND IMAGE-FORMING METHOD

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed (without a Statement Under 37 C.F.R. § 1.97(e)) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of

## INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/765,929

Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore the statutory fee 37 C.F.R. § 1.17(p) of \$180.00 is being charged to Deposit Account No. 19-4880 via EFS Payment Screen.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants submit an English language Abstract for Japanese Patent Application Publications 09-176543, 54-130620 and 2001-172532.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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Registration No. 53,892

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: May 1, 2007

PTO/SB/06a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

( Not for submission under 37 CFR 1.99)

|                        |                     |
|------------------------|---------------------|
| Application Number     | 10765929            |
| Filing Date            | 2004-01-29          |
| First Named Inventor   | Toshiaki AONO et al |
| Art Unit               | 2853                |
| Examiner Name          | Laura E. Martin     |
| Attorney Docket Number | Q78636              |

**U.S. PATENTS**

| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|---------------|------------------------|------------|---|--|
|                   | 1       | 6068963       |                        | 2000-05-30 | Aoshima   |  |

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S. PATENT APPLICATION PUBLICATIONS**

| Examiner Initial* | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|--------------------|------------------------|------------------|---|--|
|                   | 1       | 20020060727        | A1                     | 2002-05-23       | Nishita   |  |
|                   | 2       | 20020143079        | A1                     | 2002-10-03       | Yamanouchi et al                                |  |
|                   | 3       | 20040239740        | A1                     | 2004-12-02       | Aono et al                                      |  |

If you wish to add additional U.S. Published Application citation information please click the Add button.

**FOREIGN PATENT DOCUMENTS**

| Examiner Initial* | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> j | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T <sup>5</sup>           |
|-------------------|---------|--------------------------------------|-----------------------------|------------------------|------------------|---|--|--------------------------|
|                   | 1       | 09176543                             | JP                          | A                      | 1997-07-08       | Eastman Kodak Co                                |  | <input type="checkbox"/> |

|   |                        |                     |
|---|------------------------|---------------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number     | 10765929            |
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|   | Art Unit               | 2853                |
|   | Examiner Name          | Laura E. Martin     |
|   | Attorney Docket Number | Q78636              |

|   |            |    |   |            |                      |                          |
|---|------------|----|---|------------|----------------------|--------------------------|
| 2 | 54130620   | JP | A | 1979-10-11 | Toyo Soda Mfg Co Ltd | <input type="checkbox"/> |
| 3 | 2001172532 | JP | A | 2001-06-26 |                      | <input type="checkbox"/> |

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#### NON-PATENT LITERATURE DOCUMENTS

| Examiner<br>Initials* | Cite<br>No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>5</sup>           |
|-----------------------|------------|---|--------------------------|
|                       | 1          |   | <input type="checkbox"/> |

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#### EXAMINER SIGNATURE

|                    |                |                 |            |
|--------------------|----------------|-----------------|------------|
| Examiner Signature | /Laura Martin/ | Date Considered | 06/04/2008 |
|--------------------|----------------|-----------------|------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

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| Examiner Name          | Laura E. Martin     |
| Attorney Docket Number | Q78636              |

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.15. Please see CFR 1.4(d) for the form of the signature.

|            |              |                     |            |
|------------|--------------|---------------------|------------|
| Signature  | /Sunhee Lee/ | Date (YYYY-MM-DD)   | 2007-05-01 |
| Name/Print | Sunhee Lee   | Registration Number | 53,892     |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**